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Docket No.: M-9727 US

July 24, 2002

Commissioner For Patents
Washington, D.C. 20231

Re: Applicants: Robert J. Small and Xiaower Shang
Assignee: EKC Technology, Inc.
Title: Chemical-Mechanical Planarization Using Ozone
Serial No.: 09/783,069
Examiner: Le, Thao P. Filed: February 13, 2001
Docket No.: M-9727 US Group Art Unit: 2818

Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (in duplicate); and
- (3) Response to Office Action.



No additional fee is required.
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Respectfully submitted,

K. Alison de Runtz
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#13/Response
7/30/02
C. Davis

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert J. Small and Xiaowei Shang
Assignee: EKC Technology, Inc.
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AMENDMENT

Sir:

This is in response to a non-final office action mailed June 5, 2002 and setting a shortened statutory period for response that expires on September 5, 2002. In view of the following remarks, reconsideration is respectfully requested.

Remarks

The Examiner's indication that all of the information submitted with Applicant's Information Disclosure Statement of December 18, 2001 has been considered is acknowledged and appreciated.

The Examiner acknowledged Applicants' election of Group II, but failed to acknowledge that it was an election with traverse. Reconsideration of the restriction requirement was requested. The Examiner is respectfully asked to reconsider the restriction requirement, as previously requested, in view of Applicant's election with traverse.

Claims 8 and 9 have been rejected under 35 U.S.C. Section 102(a) as allegedly being anticipated by U.S. Patent No. 6,100,198 to Grieger et al. (hereinafter, simply "Grieger"). These rejections are respectfully traversed.

Grieger fails to teach or suggest a method of planarizing a surface by directing ozone gas onto the surface. Grieger simply teaches a method of washing a substrate. which method is